

**NFA Self-Examination Checklist Review
2010 Attestation**

Firm Name: _____

Firm NFA ID: _____

Address: _____

Name of CCO: _____

Name of person
conducting review: _____

Checklists completed

- General – All Firms
- FCM
- IB
- CPO
- CTA

Appropriate supervisory personnel for the firm have reviewed and evaluated the current procedures of the firm using the NFA Self-Examination Checklist. Based on that review, it appears that the firm's current compliance procedures are adequate to meet its supervisory responsibilities.

Signed

Date