

**STATE OF CALIFORNIA  
BUSINESS, TRANSPORTATION AND HOUSING AGENCY  
Department of Corporations**

**MINIMUM FINANCIAL REQUIREMENTS WORKSHEET  
Section 260.237.2 CCR**

FILE NUMBER: \_\_\_\_\_

APPLICANT'S NAME: \_\_\_\_\_

COMPUTATIONS AS OF: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

TYPE OF REPORT (Initial, Annual, Interim): \_\_\_\_\_

**SUMMARY:**

NET WORTH	From (b) below	A	
MINIMUM NET WORTH REQUIRED	[Per Section 260.237.2(a)(b) CCR]	B	
EXCESS/ (DEFICIT) IN NET WORTH	[A-B]		
EXCESS/ (DEFICIT) IN NET WORTH @120%	From (d) below	C	

**NET WORTH** [Per Section 260.237.2(d)]

TOTAL ASSETS			
Less: EXCLUDED ASSETS	From (c) below	a	
Total Allowed Assets			
TOTAL LIABILITIES			
NET WORTH (Total Allowed Assets - Total Liabilities)	(Transfer to A above)	b	

**EXCLUDED ASSETS** [Per Section 260.237.2(d)]

NON CURRENT PREPAID EXPENSES			
DEFERRED CHARGES			
GOODWILL			
FRANCHISE RIGHTS			
ORGANIZATIONAL EXPENSES			
PATENTS			
COPYRIGHTS			
MARKETING RIGHTS			
UNAMORTIZED DEBT DISCOUNT AND EXPENSE			
ALL OTHER ASSETS OF INTANGIBLE NATURE			
HOME, HOME FURNISHINGS, AUTOMOBILES AND OTHER			
PERSONAL ITEMS NOT READILY MARKETABLE (INDIVIDUAL)			
ADVANCES OR LOANS TO STOCKHOLDERS AND OFFICERS			
ADVANCES OR LOANS TO PARTNERS (PARTNERSHIP)			
TOTAL EXCLUDED ASSETS	(Transfer to a above)	c	

**120% TEST** [Per Section 260.241.2(d)]

NET WORTH	(From (A) above)		
MINIMUM NET WORTH REQUIRED @ 120%	(From (B) above x 120%)		
EXCESS/ (DEFICIT) IN NET WORTH @120%	(Transfer to C Above)	d	